



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Vishva M. Dixit, et al.

Serial No.: 08/443,982

Filed: May 18, 1995

For: METHODS AND COMPOSITIONS
FOR REGULATING FAS-
ASSOCIATED APOPTOSIS

)
)
) Group Art Unit: Unassigned

)
) Examiner: Unknown

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. §1.53(d) dated August 14, 1995, attached please find:

☒ a combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$65.00 as set forth in 37 C.F.R. §1.16(e):

☒ a Declaration of Small Entity Status;

☒ an Assignment and Recordation Form Cover Sheet;

☒ Other: Copy of PTO form 1533, Amendment Transmittal Letter, Preliminary Amendment and Response to Notice to Comply, Copy of Notice to Comply, Statement to Support Filing and Submission §§1.821-1.825, Sequence Listing, Diskette, Postcard.

☒ a check in the amount of \$972.00 which includes the \$365.00 filing fee, \$65.00 surcharge fee, the \$40.00 recordation of Assignment fee, the \$382.00 for additional claims fee, and the \$120.00 multiple claims fee.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

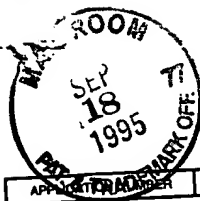
By Antoinette F. Konski
Antoinette F. Konski
Registration No. 34,202

MORRISON & FOERSTER
755 Page Mill Road
Palo Alto, CA 94304-1018
(415) 813-5600
Fax: (415) 494-0792

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on September 14, 1995.

September 14, 1995
Date

Antoinette F. Konski
Signature



205-201 220-203
65-205 154-203
A/N
LOC 0300
9/13/95

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APP. NO.	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/443,982	05/18/95	DIXIT	V 203442107020

08/443,982 05/18/95 DIXIT

0222/0814

ANTOINETTE F KONSKI
MORRISON AND FOERSTER
755 PAGE MILL ROAD
PALO ALTO CA 94304-1018

DATE MAILED: 0000

08/14/95

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 2042.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 730 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 1182 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:
☐ is missing.
☐ does not cover the newly submitted items.
4. ☒ An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
5. ☒ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☒ The signature(s) to the oath or declaration is/are: ☒ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
7. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
8. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
9. ☐ A processing fee is required since your check was returned without payment.
(37 CFR 1.21(m)).
10. ☒ Your filing receipt was mailed in error because your check was returned without payment.
11. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
12. ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

A copy of this notice MUST be returned with the response.

COPY TO BE RETURNED WITH RESPONSE.

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Application of

VISHVA M. DIXIT, et al.

Serial No.: 08/443,982

Filed: May 18, 1995

For: METHODS AND COMPOSITIONS
FOR REGULATING FAS-
ASSOCIATED APOPTOSIS

Examiner: Unassigned

Group Art Unit: Unknown

AMENDMENT TRANSMITTAL LETTERAssistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above patent application:

☒ Verified Statement of Small Entity Status ☒ enclosed previously submitted☒ An additional fee is required, and has been calculated below:☒ Other enclosures: Form PTO-1533, Transmittal Letter for Missing Parts of Application, Notice to Comply, Preliminary Amendment and Response to Notice to Comply, Recordation Form Cover Sheet, Assignment, Combined Declaration and Power of Attorney, Statement to Support Filing and Submission in Accordance with C.F.R. §§ 1.821-1.825, Sequence Listing, Diskette, Postcard.

No. Claims in Application After This Amendment	Highest No. Claims Previously Paid For	Extra Claims (Not Less Than 0)	Additional Fee
A. Total Claims <u>34</u>	minus <u>20</u>	= <u>14</u> x \$ 22.00	= \$ <u>308.00</u>
B. Independent Claims <u>2</u>	minus <u>3</u>	= <u>6</u> x \$ 76.00	= \$ <u>456.00</u>
C. If amended to contain multiple dependent claims, add		\$ 240.00	= \$ <u>240.00</u>
D. Total Amendment Fee (TOTAL A, B, & C)			\$ <u>1,004.00</u>
E. If small entity, fifty percent reduction of Total Amendment Fee (50% x D)			\$ <u>502.00</u>
F. Total Additional Claims Fee Due For This Amendment (D minus E)			\$ <u>502.00</u>

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The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

By Antoinette F. Konski
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September 14, 1995
DateAntoinette F. Konski
Signature